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Docket No. 7163/SYNX/SYNX/JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Inventor(s): Michael R. Rice, Robert B. Lowrance, Martin R. Elliott, Jeffrey C. Hudgens and Eric A. Enghardt  
Title: METHODS AND APPARATUS FOR TRANSPORTING SUBSTRATE CARRIERS  
Serial No.: 10/764,982  
Filed: January 26, 2004  
Examiner: Mark A. Deuble  
Group Art Unit: 3651

Transmitted herewith is:

- ☒ PTO Form 1449 and Information Disclosure Statement with eight cited references.
- ☒ Transmittal and Fax Cover Sheet.

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$88.00	\$0.00
Basic Filing Fee				\$790.00	\$0.00
TOTAL FEES					PAID

— The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to:  
**Customer # 41161  
Dugan & Dugan, PC  
55 South Broadway  
Tarrytown, NY 10591**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: April 19, 2005

Signature:

Respectfully submitted,

Brian M. Dugan  
Registration No. 41,720  
(914) 332-9081



PATENTS  
7163/SYNX/SYNX/JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael R. Rice, Robert B. Lowrance, Martin  
R. Elliott, Jeffrey C. Hudgens and Eric A.  
Englhardt

Serial No. : 10/764,982

Filed : January 26, 2004

For : METHODS AND APPARATUS FOR TRANSPORTING  
SUBSTRATE CARRIERS

Group Art Unit : 3651

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

U.S. Patent No. 4,401,522, Buschow et al.

U.S. Patent No. 4,534,843, Hirbourn et al.

U.S. Patent No. 4,540,088, Bergh

U.S. Patent No. 4,650,264, Dahnert

U.S. Patent No. 4,775,046, Gramarossa et al.

U.S. Patent No. 6,235,634, Law et al.

U.S. Publication No. 2002/090282, Bachrach

U.S. Publication No. 2003/010449, Gramarossa et al.

These references are also listed on the accompanying  
Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Brian Dugan", with a long horizontal flourish extending to the right.

Brian M. Dugan, Esq.  
Registration No. 41,720  
Dugan & Dugan, PC  
Attorneys for Applicants  
(914) 332-9081

Dated: April 19, 2005  
Tarrytown, New York

U.S. Department of Commerce, Patent and Trademark Office

Docket No.:

7163/SYNX/SYNX/JW

Serial No.:

10/764,982

OF RELEVANT ART CITED BY APPLICANT

(Use several sheets if necessary)

Applicants:

Michael R. Rice, et al

Filing Date:

January 26, 2004

Group:

3651

## Patent Documents

*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	US-1	4,401,522	08/30/83	Buschow et al.			
	US-2	4,534,843	08/13/85	Hirbour et al.			
	US-3	4,540,088	09/10/85	Bergh			
	US-4	4,650,264	03/17/87	Dahnert			
	US-5	4,775,046	10/04/88	Gramarossa et al.			
	US-6	6,235,634	05/22/01	Law et al.			
	US-7	2002/090282	07/11/02	Bachrach			
	US-8	2003/010449	01/16/03	Gramarossa et al.			
	US-9						
	US-10						
	US-11						

## Foreign Patent Documents

## Translation

		Document Number	Date	Country	Class	Subclass	Yes	No
	F-1							
	F-2							
	F-3							
	F-4							
	F-5							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	OT-1	
	OT-2	
	OT-3	

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.